

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.
END9-2000-0104US1

In Re Application Of: **R. C. Beasley et al.**

Serial No.
09/657,215

Filing Date
09/07/00

Examiner
N/A

Group Art Unit
2161

Invention: SYSTEM AND METHOD FOR CLUSTERING SERVERS FOR PERFORMANCE AND LOAD BALANCING

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on 10/23/00.

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

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☒ Completion of application fees as calculated below:

☐ Utility application filing fee _____

☐ Design application filing fee _____

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims _____

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____

☐ Fee for processing an application filed with a non-English language specification _____

☐ Fee for processing and retention of application _____

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$130.00**

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The fee of **\$130.00** is to be paid as follows:

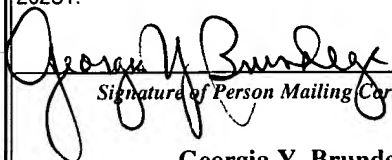
- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **09-0457**
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.


Signature

**John R. Pivnichny, Reg. No: 43,001
IBM Corporation, N50/040-4
1701 North Street
Endicott, NY 13760**

Dated: **12/19/00**

I certify that this document and fee is being deposited
on **12/19/00** with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

Georgia Y. Brundege

Typed or Printed Name of Person Mailing Correspondence

CC:

#3 SECTORS

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): R. C. Beasley et al.

Docket No.

END9-2000-0104US1

Serial No.
09/657,215

Filing Date
09/07/00

Examiner
N/A

Group Art Unit
2161

Invention: **SYSTEM AND METHOD FOR CLUSTERING SERVERS FOR PERFORMANCE AND LOAD
BALANCING**



I hereby certify that this Declaration, Notice to File Missing Parts and Response to Notice to File Missing Parts
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on 12/19/00
(Date)

Georgia Y. Brundege

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

#3

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of:

R. C. Beasley et al.

Filed: 09/07/2000

Title: SYSTEM AND METHOD
FOR CLUSTERING SERVERS FOR
PERFORMANCE AND LOAD BALANCING :



Serial No.: 09/657,215

Group Art Unit: 2161

Examiner: N/A

IBM Corporation
Intellectual Property Law
Department N50/40-4
1701 North Street
Endicott, NY 13760

RESPONSE TO "NOTICE TO FILE MISSING PARTS OF APPLICATION"

Assistant Commissioner For Patents

Washington, D.C. 20231

Dear Sir:

In response to the "Notice To File Missing Parts of Application" received in the present patent application and dated 10/23/2000, enclosed please find a fully executed declaration.

Please charge the surcharge fee, under 37 CFR 1.16(e), of \$130.00 to our deposit account number 09-0457. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Date 12/19/00

By John R. Pivnichny
John R. Pivnichny
Reg. No. 43,001

Phone: (607) 755-6565

Docket No: EN920000104US1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/657,215	09/07/2000	Robert C. Beasley	END9 2000 0104 US1

Shelley M Beckstrand
314 Main Street
Owego, NY 13827-1616



FORMALITIES LETTER



OC00000005497424

Date Mailed: 10/23/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/26/2000 JADD01 00000040 090457 09657215
01 FC:105 130.00 CH